

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Moris TOPAZ

Title: BIOCOMPATIBLE, INJECTABLE AQUEOUS SOLUTION FOR USE IN

**ULTRASOUND ENERGY ASSISTED SURGERY** 

Appl. No.: 10/686,730

Filing Date: 10/17/2003

Examiner: Unassigned

Art Unit: Unassigned

## <u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 CFR §1.56</u>

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

The USPTO has waived the requirement under 37 CFR 1.98(a)(2)(i) to submit copies of U.S. patents and U.S. patent application publications when citing and submitting an Information Disclosure Statements in a patent application filed after June 30, 2003 and in an international application that has entered the national stage under 37 USC §371 after June 30, 2003. Accordingly, copies of these types of documents are not being supplied in connection with this application. Reference is being made to Pre-OG Notice from Office of Patent Legal Administration dated July 25, 2003, Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003.

Applicant submits herewith on Form PTO/SB/08 a listing of the documents cited by or submitted to the U.S. PTO in parent application Serial No. 09/478,363, filed 01/06/2000. As provided in 37 CFR §1.98(d), copies of the documents are not being provided since they were previously submitted to the United States Patent & Trademark Office in the above-identified parent application.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie art reference against the claims of the present application.

## TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

Applicant respectfully requests that the listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

FOLEY & LARDNER

Customer Number: 22428

Telephone: (202) 672-5483 Facsimile:

(202) 672-5399

ebruary 17, 2004

Richard Peet

Attorney for Applicant Registration No. 35,792

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449B/PTO Complete if Known 10/686,730 **Application Number** INFORMATION DISCLOSURE STATEMENT BY APPLICANT 10/17/2003 **Filing Date First Named Inventor** Moris TOPAZ Group Art Unit Unassigned use as many sheets as necessary) **Examiner Name** Unassigned **Attorney Docket Number** 030231-0151

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number	Kind Code <sup>2</sup> (if known)				
	A1	4,422,450		RUSTEBERG	12-27-1983		
	A2	5,098,415	А	LEVIN	03-24-1992		
	A3	5,123,903	А	QUAID et al.	06-23-1992		
	A4	5,129,824	А	KELLER	07-14-1992		
	A5	5,149,319	А	UNGER	09-22-1992		
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	A11	6,129,701	Α	CIMINO	10-10-2000		
	A12	6,139,319	Α	SAUER et al.	10-31-2000		

FOREIGN PATENT DOCUMENTS									
Examiner Cite No.		Foreign Patent Document  Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
	A13	wo	94/06355	A1	CORAJE, INC.	03-31-1994			

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Examiner	Date
Signature	Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

MODIFIED PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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number.	Substitute for forr	m 1449B	/PTO		Complete if Known	- OIB	
	INFORMATION I	DISCLO	SURE	Application Number	10/686,730	- Oisk	
	STATEMENT BY	APPLI	CANT	Filing Date	10/17/2003	4	1
				First Named Inventor	Moris TOPAZ	FFR 1 7	1
				Group Art Unit	Unassigned	. 2004 ;	J
	(use as many sheet	ts as ne	cessary)	Examiner Name	Unassigned	X Q	7
Sheet	2	of	2	Attorney Docket Number	030231-0151	The other	
					•	<b>CEMBO</b>	

	NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sub>6</sub>				
	A14	ZOCCHI, "Ultrasonic Assisted Lipoplasty," <u>Clinics in Plastic Surgery</u> , October 1996, pp. 575-598, Vol. 23, No. 4, W.B. Saunders Company					
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Examiner Signature	Date Considered	

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